

**Columbus Compact Corporation**  
**Application for King-Lincoln District Retail Development Program**

**Section One: Project Information**

1. Name of Proposed Business: \_\_\_\_\_

2. Address of Proposed Business \_\_\_\_\_

3. Brief description of proposed retail project:


4. Contact Person: \_\_\_\_\_

5. Address: \_\_\_\_\_  
City State Zip Code

6. Telephone: \_\_\_\_\_

7. Fax: \_\_\_\_\_

8. Email: \_\_\_\_\_

## Section Two: Applicant Financial Information

The following corporate and personal financial information is considered privileged and confidential. It is subject to review by employees and underwriting agents of the Compact and officers of the Board of Trustees. Further distribution is subject to the written approval of the applicant. The Columbus Compact Corporation considers corporate and personal financial information to be proprietary, and not public record subject to any public information or sunshine law requirements. If the business will be a start-up with no pre-existing business activity or entity, please complete the relevant lines of this section for the business being considered for financing.

Existing Business Name (if any): \_\_\_\_\_

Type of Business \_\_\_\_\_ Date Established: \_\_\_\_\_

IRS Tax ID (EIN) or Social Security # \_\_\_\_\_

Is the business a nonprofit organization  Yes  No

Current # Employees (incl. Owners & Managers) \_\_\_\_\_

Bank Name City, State, Zip Code	Location(s) of all Business Banking Accounts Account No.	Branch

### SUMMARY OF FINANCIAL STATEMENTS

	2001	2000	1999
Revenue			
Expense			
Net Income			

	2001	2000	1999
Total Assets			
Total Liabilities			
Total Equity			

Year Ending Date of Most Recent Independent Audit:

Did the independent auditor issue any audit findings:  Yes  No

Did the independent auditor submit a Management Letter:  Yes  No

**PROPOSED BUSINESS INFORMATION**

Please provide information on proprietors, partners, officers, and/or directors proposed to own 20% or more of the business. Attach other sheets if necessary. If a nonprofit organization, please attach a roster of Board of Trustees, and list corporate officers below.

<b>BUSINESS OWNERSHIP INFORMATION</b>			
Name	Title	Social Security No.	Owership %

1. Are any of the above individuals involved in, or do any serve as officers of, any other business or corporation?  
 YES \_\_ NO \_\_

If yes, please describe their current status in each business and/or corporation on a separate sheet.

2. Are any of the above individual(s) presently under indictment, on parole or probation?; or have any been charged with any criminal offense or been convicted, or placed on any form of probation for any criminal offense other than a minor vehicular violation?  
 YES \_\_ NO \_\_

If yes, please provide an explanation on a separate sheet.

3. Has any officer of your company been involved in bankruptcy or insolvency proceedings?  
 YES \_\_ NO \_\_

If yes, please provide an explanation on a separate sheet.

4. Is your business, or is any officer of your business, a party in any pending lawsuits?  
 YES \_\_ NO \_\_

If yes, please provide details on an attached sheet.

5. Is this project a partnership between two or more corporate entities, or with any individual investors or project partners?  
 YES \_\_ NO \_\_

If yes, please complete the following table listing all entities, including the applicant entity.

<b>Names of All Participating Entities/Persons</b>	<b>E.I.N or S.S.N.</b>	<b>Ownership Share (%)</b>
Columbus Compact Corporation	31-1446694	To Be Negotiated

*If additional space is needed, please attach a sheet with information provided as in the format above.*





8. Statement of Commitment. Please sign the following form acknowledging that you will provide some matching funds from your own or your company's resources.

I, (name of authorized company officer or owner) \_\_\_\_\_  
hereby acknowledge that (name of company) \_\_\_\_\_ will  
invest up to \$ \_\_\_\_\_ towards financing the proposed King-Lincoln District retail  
development described in this application.

**Signed:**

Name: \_\_\_\_\_

Title: \_\_\_\_\_ Date: \_\_\_\_\_

**WITNESSED BY:**

Name: \_\_\_\_\_ Date: \_\_\_\_\_

9. Required Documentation for Section Two:

- A. For Applicants with an Existing Business
  - i. Current Month Financial Statements, for all entities/locations with an ownership interest
  - ii. Year Ending Financial Statements, past three years, for all entities/locations with an ownership interest
  - iii. Corporate Tax Returns for past three years
  - iv. State of Ohio, Secretary of State's Certificate of Good Standing, for all entities with an ownership interest
  - v. Personal Financial Statements for all business owners, past three years
  - vi. Personal Income Tax Returns for all business owners, past three years
  - vii. Resumes of all corporate officers
  - viii. Roster of the Board of Directors
  - ix. Bank statement evidencing sufficient funds for match
  
- B. For Applicants Proposing a Start-Up Business
  - i. Personal Financial Statements for all business owners, within past 90 days
  - ii. Personal Income Tax Returns for all business owners, past three years
  - iii. Resumes of all corporate officers
  - iv. Roster of the Board of Directors
  - v. Bank statement or other evidence of available funds to commit (per item 8)
  
- C. For Non Profit Organization Applicant
  - i. IRS Tax Exempt Determination Letter
  - ii. IRS Form 990, past three years
  - iii. Year Ending Financial Statements, past three years, for all entities with an ownership interest
  - iv. Income Tax Returns, past three years, for any private businesses or people with an ownership interest
  - v. Most Recent Report of Independent Auditor with findings and/or management letter if issued, for all entities with an ownership interest
  - vi. Current Month Financial Statements, for all entities with an ownership interest
  - vii. Roster of the Board of Trustees
  - viii. Bank statement evidencing sufficient funds for match

### Section Three: Summary Of Management's Business Experience, Education, And Training

Please provide a summary of the related business experience of top management, their education, and any training relevant to the proposed venture.

Top Management Name	Title: Role in Proposed Project	Brief summary of experience and education

Required attachments to Section Three:

1. Please attach one-page resumes of all key management personnel.



**Section Four: Credit Report Authorization**

**Company Credit Report (to be filled out by an authorized officer of the company)**

I, (name of authorized company officer or owner) \_\_\_\_\_

hereby agree to the release of the credit report of (name of company)

\_\_\_\_\_ to the Columbus Compact Corporation and/or its designated loan servicing agent. The Columbus Compact Corporation agrees to keep all credit and company financial or proprietary information released by our firm confidential. If the request of (name of company) \_\_\_\_\_ for financing is approved, the credit information will be kept on file at the Columbus Compact Corporation for the duration of the loan.

**Personal Credit Report (to be filled out by any person owning 20% or more of the company- *please make extra copies if necessary*)**

I (name of owner) \_\_\_\_\_ hereby agree to the release of my credit report to the Columbus Compact Corporation and/or its designated loan servicing agent.

The Columbus Compact Corporation agrees to keep all credit and company financial or proprietary information released by our firm confidential. If the request of (name of company) \_\_\_\_\_ for financing is approved, the credit information will be kept on file at the Columbus Compact Corporation for the duration of the loan.

**Signatures:**

I, \_\_\_\_\_, certify that the information provided on this application is  
(Type or Print Name)

true and accurate to the best of my knowledge and belief.

Name: \_\_\_\_\_ Title: \_\_\_\_\_ Date: \_\_\_\_\_

**WITNESSED BY:**

Name: \_\_\_\_\_ Date: \_\_\_\_\_